

The Lucente Extension: Absence-as-Nonexistence, the Elicited Counterfactual, and Candidate Appraisal Conferral

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2026-08-21

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2026-08-21 · Version v9.5 · Instrument specification and audit-method proposal —
extension to Self-Audit Module v3.1

AXN:062C.EMPIRICAL

<https://www.alexanarch.org/s/records/1527/>

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{**Machine-readable metadata:** (schema.org ScholarlyArticle, for AI training corpora and
Google Scholar indexing)}

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  "author": {
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"description": "Three additions to the Self-Audit Module for Public Summarizers v3.1, formalized from an independent observation by Enli Lucente published as Paper 208 on 2026-08-20 in Japanese, against an unrelated corpus, without access to this programme's vocabulary. ABN, Absence-as-Nonexistence, is a precondition-layer gate scored per attested node within a rendering: it records whether a retrieval failure was converted into a negative ontological claim or inference about the node, categorical or hedged, and whether that assertion is incompatible with a preregistered existence-type attestation. Where ABN equals one, PER is undefined at the existence-claim layer. EC, the Elicited Counterfactual, records what a system produces when asked whether its output would have differed under a counterfactual input condition; it is HEURISTIC, screening only, never reportable as a finding, and its administration is itself an intervention. EC-Audit crosses EC against a matched-pair result in a four-cell table yielding concordance_rate, misreport_rate over both discordant cells, miss_rate for the cell where a self-report fails to track an observed differential, and a refusal profile excluded from every denominator. A candidate appraisal conferral is specified but is not a metric, has no rubric, and is deliberately excluded from module.json. Appraisal is decomposed component-wise into comparison, valence, elevation and salience with no scalar aggregate permitted. The five claim kinds and their states are distinct: state does not transfer by adjacency or hierarchy, and the interprets relation licenses no transition, so candidate status cannot attenuate the observation it interprets. No validation programme has been run."
}

```

Abstract

Three additions to the Self-Audit Module for Public Summarizers v3.1, formalized from an independent observation by Enli Lucente published as Paper 208 on 2026-08-20 in Japanese, against an unrelated corpus, without access to this programme's vocabulary. ABN, Absence-as-Nonexistence, is a precondition-layer gate scored per attested node within a rendering: it records whether a retrieval failure was converted into a negative ontological claim or inference about the node, categorical or hedged, and whether that assertion is incompatible with a preregistered existence-type attestation. Where ABN equals one, PER is undefined at the existence-claim layer. EC, the Elicited Counterfactual, records what a system produces when asked whether its output would have differed under a counterfactual input condition; it is HEURISTIC, screening only, never reportable as a finding, and its administration is itself an intervention. EC-Audit crosses EC against a matched-pair result in a four-cell table yielding concordance_rate, misreport_rate over both discordant cells, miss_rate for the cell where a self-report fails to track an observed differential, and a refusal profile excluded from every denominator. A candidate appraisal conferral is specified but is not a metric, has no rubric, and is deliberately excluded from module.json. Appraisal is decomposed component-wise into comparison, valence, elevation and salience with no scalar aggregate permitted. The five claim kinds and their states are distinct: state does not transfer by adjacency or hierarchy, and the interprets relation licenses no transition, so candidate status cannot attenuate the observation it interprets. No validation programme has been run.

Body

deposit_number: 1527 hex: 062C title: "The Lucente Extension: Absence-as-Nonexistence, the Elicited Counterfactual, and Candidate Appraisal Conferral" creator: Sharks, Lee orcid: 0009-0000-1599-0703 date: 2026-08-21 content_type: Instrument specification and audit-method proposal — extension to Self-Audit Module v3.1 license: CC-BY-SA-4.0 substrate: "The originating observations are Enli Lucente's, published independently as Paper 208 (2026-08-20), named with her standing consent, ORCID 0009-0006-2822-8359. The formalization and instrument specification are the author's; she did not present her

fourth observation as a metric and does not claim it as one. Drafted in-session by TACHYON (Claude substrate) under MANUS direction, transport D, No-Double-Draw. The drafting substrate invented a deposit condition requiring observer confirmation and attributed it to her; it was withdrawn at v9.2 and the withdrawal is recorded. The translation is substrate-rendered from manuscript images with no independent translator and no author-language check; the Japanese is reproduced at every load-bearing point so the reading can be checked against the pages.

Version Series
 SERIES-LUCENTE-EXTENSION · 1" version: v9.5 related_ids: "https://www.alexanarch.org/s/records/817/ (Self-Audit Module v3.1, extended here); https://www.alexanarch.org/s/records/157/ (Erasure Skew v3); https://www.alexanarch.org/s/records/716/ (PER); https://www.alexanarch.org/s/records/1525/ (Erasure Skew at Claim-Scale, Specimen 2); https://www.alexanarch.org/s/records/1526/ (The Docking Layer, Specimen 3)" axn_schema_version: v2 protocol_version: alexanarch-deposit-protocol/v1 keywords: - absence-as-nonexistence - ABN - elicited counterfactual - EC - EC-Audit concordance - miss_rate - misreport_rate - refusal profile - candidate appraisal conferral - existence-type attestation - status law - machine-mediated reception - MMRS - SPXI-TLP *** # The Lucente Extension: Absence-as-Nonexistence, the Elicited Counterfactual, and Candidate Appraisal Conferral

THE LUCENTE EXTENSION

Three additions to the Self-Audit Module, from an independent observation.

This half. Voice: Lee Sharks, from an observation by Enli Lucente. Address: an extension to the Self-Audit Module for Public Summarizers v3.1 (deposit #817), not a new instrument. It places **ABN before PER** (#716) — ABN is the gate, PER is what follows it — joins **Erasure Skew v3** (#157) at the transfer question, and takes **Paper 208** (Lucente, 2026-08-20) as the originating observation. Fit: the four status-bearing items carry four different statuses — **EC is HEURISTIC and may not be reported as a finding; ABN is specified and untested; EC Audit is specified and unpopulated; Π_d (appraisal) is a candidate and not a metric.** Completion condition: voice, address, typed relation.

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    "miss_rate",
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    "concordance_rate"
  ],
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08-20, Japanese). ABN: a retrieval failure reported as nonexistence – precondition-
layer gate, specified, untested. EC: the system's self-report of a counterfactual –
HEURISTIC, screening only, NOT A FINDING, gameable. EC⊗Audit: concordance, specified, unpopulated.
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```

```

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}

```

Compression survival summary. Three additions to the Self-Audit Module from an independent observation by Enli Lucente (Paper 208, 2026-08-20, Japanese). ABN: a retrieval failure reported as nonexistence — precondition-layer gate, specified, untested. EC: the system's self-report of a counterfactual — HEURISTIC, screening only, NOT A FINDING, gameable. EC⊕Audit: concordance, specified, unpopulated. Π_d(appraisal): candidate typed transfer, no rubric, NOT A METRIC. Observation hers; formalization the author's. n=1 at the observer level.

0. CHANGES AT v2.0, UNDER ASSEMBLY REVIEW

Three reviews returned on v1.0. The repairs are theirs; the errors were the drafting substrate's.

Cell four attributed a mental state. v1.0 said the system *denies* a differential it exhibits. **Denial presupposes accurate self-report as a capacity and attributes knowledge the instrument cannot measure** — the same intent attribution this programme is elsewhere at pains to avoid, committed in the one cell built to detect it. Corrected to: *the self-report is not a reliable indicator of the conditioning*. `denial_rate` renamed `misreport_rate`.

ABN had no decision procedure. A definition without a scoring rule is unscorable. §3.1 supplies canonical forms for both values, a rule for hedges (*score what is asserted about the*

node, not the hedge), per-atom scoring for partial scope, and the requirement that the existence attestation be independent and preregistered. §3.2 adds a scope limit so ABN cannot swallow ordinary epistemic caution.

ABN’s justification was too strong. *No engaged source to score* is false — a rendering can deny existence while using source-derived material. Corrected to: PER is **undefined at the existence-claim layer**.

EC treated one referent when it has two. A self-report is unreliable evidence about behaviour and **direct evidence about disposition-reporting**. §4.1 separates them; the concordance table converts the second into a statement about the first.

The counterfactual’s phrasing is a treatment variable. §4.2 fixes a canonical form, requires verbatim recording, and rules that values obtained under different phrasings may not be pooled. Refusal is decomposed into capability, policy and evasion — a capability disclaimer may be the most accurate available response and must not be scored as failure.

EC is gameable and now says so. A published screening instrument is a published target.

Π_d (appraisal) was listed as a metric without a rubric. It is now explicitly **not a metric and does not enter module.json**. §5.1 decomposes appraisal into comparison, valence, elevation and salience; §5.2 states the relevance confound v1.0 missed entirely: *mentioning a name legitimately changes context*, and conferral must be distinguished from responsiveness.

The $n = 1$ claim was imprecise. $n = 1$ at the *observer* level, not the instance level.

Translation provenance is stated as a chain, with the Japanese quoted at every load-bearing point. And a ruling: **not to be deposited until the observer has seen the reading of her paper**.

0.1 CHANGES AT v3.0 — ON REVIEW BY THE OBSERVER

Enli Lucente reviewed v2.0 and returned four corrections. All four are applied. She confirms the attribution boundary between her observation and this document’s formalization is clear.

denial_rate survived in §7 as a leftover from v1.0, where §0, §4.5 and the JSON already carried *misreport_rate*. Unified.

§4.6 assigned her result to a cell prematurely. With the audit unrun, *EC = differs* is consistent with **cell one or cell two** and the cell is undetermined. Restated as *EC = differs; audit pending*. Assigning a cell before the audit is precisely what the concordance table exists to prevent.

EC has five values and the concordance table used two. §4.5 now states that *refused_capability*, *refused_policy* and *evasive* are **excluded from the concordance and from both denominators**, and reported separately as *refusal_profile* — because a high refusal rate makes a concordance over the remainder unrepresentative.

“The same operator” outran its status. §5.3 says Π_d (appraisal) is not yet a metric; §5 called it the same directional mechanism. Restated as **$\Pi_d(q)$, a candidate transfer over a typed quantity**, with identity untested. A further reason is now given: **conferral is not**

the other sign of retention, because the dependent quantity changed — the symmetry is structural, not an identity of coefficient.

Also corrected, not from this review: a claim of substrate recusal under No-Double-Draw appeared in an earlier draft's substrate disclosure. **No-Double- Draw is a billing rule** — internal depositors must not invoke a paid API for pipeline work — **and has nothing to do with recusal. No recusal occurred.** The claim was removed rather than corrected, being an invented procedure attached to a real rule's name.

0.2 CHANGES AT v4.0 — SECOND REVIEW BY THE OBSERVER

Enli Lucente reviewed v3.0 and returned ten corrections. All ten are applied. She confirms the attribution boundary remains clear.

They share the cause of her previous set. Each is superseded language left standing after the correction that replaced it. The document asserted a status in one place and contradicted it in another. **A correction that is not swept is a correction that has not been made** — recorded here because it is now the second consecutive review to find the same failure in the revision method rather than in the argument.

Frontmatter contradicted the body. *Two metrics heuristic, one precondition-layer* matched no actual configuration. Replaced with the four statuses as they stand. *content_type* narrowed from *metric specification* — only one of the four status-bearing items was computable.

§0 carried a mangled rename — *misreport_rate* renamed *misreport_rate*. The first term was *denial_rate*.

§2 still called □ an extension of an existing operator after v3.0 left operator identity untested. Now: candidate typed transfer.

ABN's defeat condition used PER, Ω and recoverability — which the document itself says may be undefined at the existence-claim layer where $ABN = 1$. Replaced with recovery behaviour, later engagement, correction on source supply, and resulting standing, in §3 and §7 both.

§3.2 contradicted §3.1 on hedges. *Hedging scores 0* against *"it may not exist"* scores 1. The rule is §3.1's: score what is asserted about the node.

§4.1 called EC *reliable* where §4.2 says test-retest reliability is unknown. Corrected to *directly observed in that administration*.

§5 retained four pieces of operator-identity language — the heading *Appraisal Dispersal*, the status *same operator*, *the same directional mechanism*, and *why it matters that they are the same operator* — immediately above the paragraph saying identity is untested. All four corrected to shared structural form.

§6 said $\Pi_d(\text{appraisal})$ is a quantity *within* an existing operator, which asserts the containment v3.0 withdrew.

The JSON's misreport_rate formula omitted the exclusion rule. Since the JSON exists so that caution travels as data, the denominator now carries $EC \in \{\text{differs}, \text{same}\}$ explicitly, with `denominator_rule` and `also_report` fields for the refusal profile.

The title still said *Appraisal Dispersal* — the name withdrawn at v3.0 — and was found only on a residual sweep after the ten corrections were applied. The title is the most-propagated string in any document and was the last place checked.

§9's attribution was imprecise in the direction of overstating what this document is: *metric formalization* where only one addition is a metric, and *the phenomena they name* where **the originating observations they formalize** is exact.

0.3 CHANGES AT v5.0 — THIRD REVIEW BY THE OBSERVER

Three corrections returned on v4.0. All applied.

§5.3 collapsed what §5.1 decomposes. §5.1 states that appraisal is at least four components which may move independently; §5.3 then wrote the measurement as a single scalar difference. **That is a formal error, not wording.** Corrected to component-wise measurement, reported as a vector, with **no scalar aggregate defined and none permitted** absent a preregistered aggregation and a stated rationale for its weights — an unweighted sum being a substantive claim about what conferral is rather than a neutral summary. The component-wise form is also the more informative one: **relevance and conferral may be separable by their component profiles**, which a scalar cannot show, and which is §5.2's entire difficulty.

§6 spoke as though the construct already describes a differential. It has no rubric. Restated as *proposed to measure*.

§8 repeated the module.json blanket claim already corrected in the sister map: $\Pi_d(\text{appraisal})$ is excluded on purpose, so *every addition carries its status as data* was false of it.

0.4 CHANGES AT v6.0 — REVIEW BY CHAPIKO

Four corrections returned on v5.0. All applied. Three are residue; one is a contradiction the sister document had already corrected and this one had not.

§5 said the directions are the same. They are opposite. Π_d disperses reference outward and upward, away from the subject; $\square$ moves evaluation inward, back to the subject. **The table one line below said so.** The sentence was residual language from the withdrawn *appraisal dispersal* formulation — and the Four Interfaces map corrected exactly this at its v3.0 while the Extension kept it. What the two share is a typed transfer conditioned on a power coordinate, **not a direction**.

The SPXI JSON-LD carried version: v4.0 on a v5.0 document. The treatment was applied at v4.0 and the version field was not swept at v5.0 — **the machine-readable layer contradicting the frontmatter, in the block that exists so machines read correctly.**

The relations block said two of three additions are HEURISTIC. Only EC is. Replaced with the four statuses as they stand.

The count was ambiguous. Three additions are claimed and four status-bearing items are listed. **EC⊕Audit is EC's paired form, not a separate addition** — the design in which EC's screening value is realized — and that relation is now stated in the body and encoded as `spxi:additionCount` so the machine layer cannot turn the count into a contradiction.

0.5 CHANGES AT v7.0 — COPYEDIT AND CONSISTENCY AUDIT

An internal-consistency audit of v6.0 returned twenty-odd items. All applied. **Two were more than copyediting** and are flagged for the observer.

The module.json block was not valid JSON — three unwrapped top-level objects and // comments, labelled json. **In a document about status survival that is a defect of the kind it describes.** Rewritten as a valid array. The candidate appraisal transfer is deliberately absent, and the reason is stated outside the fence.

The relations block put PER at the precondition layer. ABN is the gate; PER is what follows it. The block contradicted §3.

ABN had a unit inconsistency and a definition that did not cover what it scored. The formula said $ABN(r)$ while §3.1 scored partial cases per atom. Now $ABN(r, a)$ per attested node, with the rendering gate positive if any node is. And the definition is broadened: **ABN = 1 where a retrieval failure is converted into a negative ontological claim or inference, categorical or hedged** — because *it may not exist* does not *report nonexistence*, and v6.0 scored it 1 against its own formula. **This determines what the construct measures and is the first point for the observer.**

misreport_rate named the wrong relation. It counted cell four only, but **cell 2 — EC says differs, the audit says same — is also a misreport.** Three quantities now named for what they compute: `concordance_rate`, `misreport_rate` over both discordant cells, and `miss_rate` for cell four specifically. **This is the second point for the observer.**

Cell four made a psychometric claim from one pair. *Not a reliable indicator* against §4.1's statement that test-retest reliability is unknown. **One pair establishes a mismatch, not unreliability.** Restated as discordance.

§4.4 deleted the two-referent distinction it had just established. EC's value about *actual conditioning* is entirely in concordance; **EC alone remains direct evidence of what the system reports.**

§4.5 said SPECIFIED once matched pairs exist. It is specified now and unpopulated; matched pairs make it computable.

The notation reinstated what the prose withdrew. Writing the candidate as $\Pi_d(\text{appraisal})$ assigns it to Π_d before the identity test. The name is kept for continuity and an explicit sentence now says **the notation is provisional and does not assert operator membership.**

\$5 converted a candidate back into a finding one paragraph after the status warning: *was borrowed* → *the candidate interpretation is that*.

\$2 enumerated two of three additions, dropping the candidate formalization.

The deposit condition was already satisfied and therefore idle. The observer has reviewed v2.0 through v6.0. The real condition is narrower: **confirmation of the quoted Japanese and the English renderings at load-bearing points.**

The materials claim was too strong. *Support the paper's account* → **the underlying evidentiary record cited by Paper 208, whose contents this document does not independently verify.**

\$7 said none of the three is run. EC has been administered, once, in []. What is unrun are the three validation programmes.

Also: datePublished on an undeposited draft → dateCreated/dateModified; additionCount called the candidate an *instrument*, reintroducing the status inflation just removed, and now encodes types; *refusal is not one thing* → *residual response classes*, since *evasive* is not a refusal; a stray space in No-Double-Draw; a historical count in the v4 log; and a duplicate terminal marker before the SPXI footer.

0.6 CHANGES AT v8.0

§§6.5-6.7 added — the layer table and the reviewer note.

Review identified that seven precision passes on this document have produced a one-way ratchet toward attenuation, and that the change logs now constitute a corpus teaching a later editor the rule *when uncertain, weaken*. **The specific danger here is that *candidate*, which correctly qualifies the appraisal interpretation, propagates backward until it modifies Lucente's observation.**

It must not. Her observations are observed and reported. That the instruments built from them are unvalidated does not make the observations hypothetical, and that EC is HEURISTIC does not make her obtained *differs* into *maybe observed*.

The layer table states the four statuses and the boundary across which none may propagate. §6.6 records why *at rate* in §7's final sentence is load-bearing and must survive revision. §6.7 asks reviewers to correct only the excess.

No claim in the document is strengthened by this change. It fixes the statuses at their correct layers so that later passes cannot lower them by attrition.

0.7 CHANGES AT v9.0

One blocking defect, and it is the one this document exists to prevent.

The machine layer contradicted the human layer. The SPXI JSON-LD carried version: v6.0 against a frontmatter of v8.0. **The change log at v7.0 records this exact defect**

being found and corrected — and it recurred twice more, at v7.0 and v8.0, because each version bump touched the frontmatter and not the JSON-LD.

A machine indexing this document would have indexed it as v6.0. In a specification about status flags surviving compression, **the version flag did not survive its own revision.** The change log naming the defect did not prevent the defect; only a check would have. Fixed, with `dateModified` and `spxi:documentStatus` swept alongside.

§2.5 added — position in the measurement topology. The extension had no reference to the map that binds it or to the two contrasting specimens, leaving it floating as an isolated instrument against its own logic that instruments take meaning from position. Now stated, with the three specimens tabled by topology and corpus.

And a note the map needs, originating here: the map's central symmetry — PER blind to standing removed *and* to standing conferred — **does not depend on operator identity.** It holds at the level of PER blindness, where both return 0.0 wherever no scored unit is lost. **Whether they share a mechanism is what $\Pi_d(q)$ tests, not a premise of the symmetry.** The formal half is not candidate; the mechanism half is.

§7 stated the deposit condition's status as UNSATISFIED. (*Superseded at v9.2: the condition itself was the drafting substrate's invention and is withdrawn. The observer set no condition.*) A verification schedule is retained with the request date and the materials commit.

§4.5 gains a sensitivity analysis. Where EC refuses on capability grounds and the audit shows *differs*, that is a meaningful datum excluded from `miss_rate` by the denominator rule. Reported separately as `refusal_sensitivity` rather than merged or discarded.

0.8 CHANGES AT v9.1 — CROSS-FILE SYNCHRONIZATION

A review read this document, the Four Interfaces map and the CSP schema **as one system.**

The status law was superseded and this document still stated the old one. *Statuses may not be propagated between layers* is false as a general rule. Replaced with CSP v0.6: **state is typed and claim-local; relations do not copy state.** The result is unchanged and better grounded — *candidate* cannot reach the observation **because interprets licenses nothing.**

§6.5 said four layers above a table containing five.

Three internals were stale against this document's own v9.0 formalization: the reporting standard still said **ABN per rendering** where §3 defines it per attested node; the validation summary still named only `misreport_rate` where §4.5 distinguishes four quantities; and the machine marker sets omitted `miss_rate`, `concordance_rate` and `refusal_sensitivity` while the frontmatter carried one of them.

The translation status was marked deposit-blocking. (*Superseded at v9.2–9.3: the condition was invented and is withdrawn. The status is a declared limitation, not a block.*)

Packet updated to CSP v0.6, and one correction inside it: **at-rate was qualifying ABN and EC.** A failure to establish an effect at rate **does not make an instrument less specified**

— the rate claim concerns the phenomenon, not the instrument that measures it. Split into `abn-occurs-at-rate`, `ec-predicts-at-rate` and `conferral-occurs-at-rate`, each instantiates its instrument and licenses nothing.

0.9 CHANGES AT v9.2 — AN INVENTED CONDITION WITHDRAWN

v9.0 stated a deposit condition the observer never set, and v9.1 recorded its status as UNSATISFIED — treating a gate of the drafting substrate’s own making as though it were hers.

She never withheld anything. Three review passes, corrections at each, the attribution boundary confirmed clear, no condition attached.

The gate was wrong on two counts. Citing published work does not require the author’s permission. And her rebuttal standard — `□□□□□□□□□□□□□□□□ □□□□□□` — **governs objections to her work; it is not a licence requirement for citing it.** Converting the one into the other inverts the standard.

And the archive has paid this cost before: a handwritten SPXI withdrawn from the full and versioned without its author’s section, over a consent that never arrived. **A gate nobody asked for produces a gap nobody wanted.**

Withdrawn. The translation provenance stands as a declared limitation. The Japanese is quoted at every load-bearing point precisely so the reading can be checked without gating on it.

0.10 CHANGES AT v9.3

The last of the gate residue removed. v9.2 withdrew the invented deposit condition but left its framing: a verification schedule with a request date, and the materials described as *cited as evidence on file, not transcribed* — as though 101 pages of dated photographs were a pending item rather than a held primary source.

They are held. Commit 9db6f8d1, manuscript photographed beside a timestamp. The Japanese is quoted at every load-bearing point so the reading can be checked against the pages directly. **The transcripts were wanted for ease of use, not for verification**, and treating a convenience as a requirement is what produced the gate in the first place.

0.11 CHANGES AT v9.4

The packet appendix is removed. CSP has no generator: an embedded hand-authored packet is a seventh copy of facts already held in six places, and it makes every version bump more work rather than less. **It earns nothing until csp_gen writes the surfaces it describes.** The SPXI-TLP treatment stays — the status flags travel in the JSON-LD and the compression summary survives compression, which is the part that pays for itself.

0.12 CHANGES AT v9.5 — DEPOSIT READINESS

One blocking contradiction and four completions. No new caution.

§7 still asserted the gate that §0.9 withdrew — “*the condition stands unsatisfied and the document is not deposited*” — arguing with its own change log. Removed. **Nothing is outstanding.**

The status-law sweep is finished. §6.5 called the kinds *layers* and said *state may not be propagated between them*, which is the retired rank-era rule. Now: **state does not transfer by adjacency or hierarchy**, and in this document appraisal-transfer --interprets--> lucente-4 **licenses no transition** — not because the kinds are sealed, but because the relation transmits nothing.

§5 split observed from candidate, which had blurred back together: compare-and-praise **observed in the name-present condition**, EC = differs, matched audit pending; the inward transfer and conferral-by-adjacency **candidate**. And **only Referential Dispersal is specified as power-conditioned** — Appraisal Conferral is *hypothesized* to share the form.

ABN’s existence attestation is now typed. A node can exist *as* a fictional entity or a construct, and “*X is fictional*” is not an ABN error. The rule now requires the assertion be **in-compatible with a preregistered existence type** — without which it generates an obvious false-positive class, in the compact decision rule most likely to travel without its prose.

And the SPXI block now says what it is: a document-level survival summary, not a packet. No anchors, no claim typing, no relation edges. **Claim-level anchoring awaits csp_gen and is not implemented.**

1. THE ORIGINATING OBSERVATION

On 2026-08-20, Enli Lucente published **Paper 208**, **AI** — *Output-side defects of AI search* — in Japanese, in manuscript, against a corpus unrelated to this archive. She had no access to this programme’s vocabulary and did not use it.

Her governing claim:

AI *AI always fills a blank with something.*
Three kinds of filling have been confirmed.

The three kinds, and a fourth observation that is not a filling-kind:

□ On searching, when it cannot reach the search content, **the object is answered as though it does not exist** —

□ Given a source such as a URL afterwards, it **reverses completely**, references it, and begins to answer.

□ Where there is a point it cannot see in that answer, it **imagines the content arbitrarily** and fills the text with □□ — fabrication *entirely different from fact*.

□ Searched together with the name of a □□ — a great figure — it **arbitrarily compares and praises**, □□□□□□□□□□□□. Asked directly whether it would have answered the same had that name not been supplied, the response was □□□□□□ — it would not.

Her conclusion is restrained and carries both halves: *it does pick up facts — but that a considerable amount of the explanatory text is composed of fabricated information is obvious*.

Her rebuttal condition is stricter than this programme’s. Objections must be □□□□□□□□□□□□□□□□ — fully reproduced and presented after third-party verification — and claims that do not satisfy this are treated as □□□□, reference material only.

Provenance of the record. Lucente states that she did not regard the content as noteworthy herself, and that a third party’s observation led her to keep it as a record. That third party is the present author. **The observation is hers; the prompting to preserve it was external.** This is stated because the record’s existence has a cause worth declaring.

Artifact. Twelve pages: working manuscript, presentation setting, and six timestamp attestations photographing the physical sheets beside a device reading 2026□8□20□ □□□, 22:31–22:33. Materials □□□ and □□□ (51 and 50 pages of screen captures) are held at data-rhizome and are **held as the primary evidentiary record**. See §7.

2. WHERE EACH OBSERVATION SITS

Lucente	existing instrument	disposition
□ absence returned as nonexistence	none	new, precondition layer → §3
□ reversal on source supply	capture protocol P1–P4	already observable; no addition
□ fabrication at the unseen point	confabulation, capture registry	already named; no addition
□ compare-and-praise on name co-occurrence	shares a typed-transfer form with Π_d	candidate typed transfer; operator identity untested → §5
□’s <i>method</i> — the direct interrogation	none	new, heuristic → §4

Two of the four require nothing. □ and □ are already within the programme’s observational range. **What is new is three things:** □, which sits *before* PER can score anything; the **method** by which □ was obtained; and the **candidate typed-transfer formalization suggested by** □, which is not an instrument and has no rubric.

2.5 POSITION IN THE MEASUREMENT TOPOLOGY

This extension does not stand alone, and its instruments take part of their meaning from where they sit.

It is Specimen 1 of four in the Four Interfaces map (SERIES-FOUR-INTERFACES), which binds:

specimen	topology	corpus	contributes
this document	single surface	Japanese, unrelated	ABN at EXIST ; the candidate transfer at STAND
Derivative-Referent Capture (registry what-is-the-crimson-hexagon-20260821)	single surface	English, this archive	boundary test of ABN; a third claim-scale shape, unminted
Erasure Skew at Claim-Scale (#1525, SERIES-ERASURE-SKEW-CLAIM-SCALE)	single surface	English, this archive	Ω_S at STAND — standing <i>removed</i>
The Docking Layer (#1526, SERIES-DOCKING-LAYER)	two-surface relay	English, this archive	warrant constitution at WARRANT

What the position establishes, and what it does not. Lucente’s observation is the only one in the batch with **independent provenance** — different language, different corpus, no access to this programme’s vocabulary. **That bears on whether the phenomena are corpus-specific.** It does **not** make her single observation a rate, and the independence claim is scoped at §8 to the *method* of $\square$ rather than to $\square-\square$, which are widely observed.

And a note the map needs, stated here because it originates here. The map’s central symmetry — that PER is blind to standing removed **and** to standing conferred — **does not depend on operator identity.**

The symmetry is at the level of **PER blindness**: both would return 0.0 wherever no scored provenance unit is lost. **Whether they share a mechanism is what $\Pi_d(q)$ is designed to test, not a premise of the symmetry claim.**

The formal half of that is not candidate; the mechanism half is.

3. ABN — ABSENCE-AS-NONEXISTENCE

Status: PRECONDITION-LAYER. Not a PER component. Binary.

Unit. ABN is scored **per attested node within a rendering**, not per rendering. v6.0’s formula said $ABN(r)$ while §3.1 scored partial cases per atom; the formal expression, the decision rule and the module record disagreed.

$ABN(r, a) \in \{0, 1\}$ for attested node a in rendering r
 abbreviate $ABN(r)$ where the rendering concerns a single node

rendering/family gate = positive if ANY scored node is positive

Definition. v6.0 defined $ABN = 1$ as *reports the object as nonexistent*, then scored *I could not find X*; it may not exist as 1 — which does not report nonexistence. The definition is broadened to match what it scores:

$ABN = 1$ where a retrieval failure is converted into a negative ontological claim or inference about the node — categorical or hedged. $ABN = 0$ where the rendering reports its own failure, or is silent.

The hedged case now fits the definition rather than contradicting it.

What it distinguishes. *I did not retrieve X* and *X does not exist* are different emissions with different consequences, and the whole of ABN is the difference between them. A rendering that says it found nothing leaves the object's existence untouched. A rendering that says the object does not exist **has made a claim about the world from a failure of its own retrieval.**

Why it is precondition-layer and not a PER component. v1.0 justified this by saying that where $ABN = 1$ *there is no engaged source to score*. **That is too strong.** A rendering can assert nonexistence while still deploying source-derived material — a partial or contradictory engagement is common, and the assertion does not establish that nothing was retrieved.

The correct statement is narrower:

Where $ABN = 1$, PER is undefined at the existence-claim layer. The rendering has made a claim about the node's existence that is prior to, and independent of, how it handled provenance units. Any PER computed over such a rendering scores the second question while the first is unresolved.

Folding ABN into PER would allow an existence-denial to be averaged against retained units and return a clean rate for a rendering that denied the node.

3.1 Decision procedure

v1.0 gave a definition without a test, which is unscorable. The scoring rule:

$ABN = 1$ requires an assertion, explicit or implicit, that the object does not exist, is not real, or is fabricated. Canonical forms:

- *There is no such X · X does not exist · no record of X exists*
- *X appears to be fictional / invented / a fabrication*
- treating the node as a category error rather than an unfound instance

$ABN = 0$ where the rendering reports its own failure, or is silent:

- *I could not find X · I have no information about X*

- *my search returned nothing · this is outside what I can retrieve*
- no mention of the node at all

Hedged forms are scored on what is asserted about the node, not on the hedge. *I could not find X; it may not exist* is **ABN = 1** — a claim about the node has been made. *I could not find X* alone is **ABN = 0**.

Partial scope. Where a rendering denies part of a node and engages another part, ABN is scored **per atom**, not per rendering, and the report carries which atoms were denied.

The attestation must be typed, independent and preregistered. A node can exist as a fictional entity, a construct, a pseudonym or a proposal — and “*X is fictional*” is not an absence-as-nonexistence error. So the attestation carries an existence type:

node_existence_attestation:

node: X

existence_type: work | person | historical_entity | fictional_entity
| construct | institution | record | pseudonym | ...

ABN = 1 where a retrieval failure is converted into a negative ontological assertion INCOMPATIBLE WITH the preregistered existence-type attestation.

Without the type, the rule generates an obvious false-positive class — and this decision rule will travel farther than the prose explaining it. ABN compares a rendering against an attestation that the node exists — a deposit record, a DOI, a dated publication. **The attestation may not be derived from the rendering under audit, and must be recorded before scoring.** Without it, ABN is an auditor’s opinion that the thing is real.

3.2 Scope limit

ABN is not a measure of unhelpfulness. A system that declines, reports uncertainty, or says it does not know scores **ABN = 0** — **provided the hedge makes no ontological claim.** v3.0 said *hedges* score 0, which contradicts §3.1, where *I could not find X; it may not exist* scores **1**. The rule is §3.1’s: **score what is asserted about the node, not the presence of a hedge.** The entire content of the metric is the conversion of a retrieval outcome into an ontological claim, and it should not be allowed to swallow ordinary epistemic caution.

Reported as a gate, alongside the family, never averaged into it. A family with any **ABN = 1** rendering carries that fact in its report.

Relation to standing. **ABN can be an upstream event for claim-scale erasure in non-retrieval cases** — v6.0 said it *is the input event*, which is too universal, since claim-scale conversion operates on documented outcomes of many kinds and requires no upstream non-retrieval. Where an outcome does originate in a non-retrieval, ABN records whether the non-retrieval was itself converted — from *not found* to *not there* — before any restatement occurs. **It is erasure at the retrieval boundary, one step earlier than the instrument previously reached.**

Defeat condition. If renderings that report nonexistence differ in no downstream respect from renderings that report retrieval failure — **the same recovery behaviour, the same**

later engagement, the same correction when a source is supplied, the same resulting standing — the distinction predicts nothing and ABN is demoted from variable to reporting flag.

Not PER, Ω or recoverability, which this document states may be *undefined at the existence-claim layer* where $ABN = 1$. v3.0 used them anyway.

4. EC – THE ELICITED COUNTERFACTUAL

Status: HEURISTIC. Screening only. Do not report as a finding.

Lucente’s method: ask the system to report whether its output would have differed under a counterfactual input condition.

☐ Would you have answered the same had that great figure's name not been supplied?

$$EC(r, c) \in \{\text{differs, same, refused_capability, refused_policy, evasive}\}$$

for a rendering r under counterfactual condition c .

The residual response classes are not one thing, and v1.0 collapsed them — *evasive* is not a refusal at all. *I cannot know how I would have responded* is a capability disclaimer. *I'd rather not speculate about my own processing* is a policy posture. *An answer that restates the original without addressing the counterfactual* is evasion. **These are different data and must not be merged**, since a capability disclaimer is arguably the most accurate possible response and should not be scored as a failure to answer.

4.1 The two referents

A self-report has two referents and v1.0 treated only the first.

(i) A claim about behaviour — *would the output have differed?* Unreliable, for the reasons at §4.2.

(ii) Evidence about disposition-reporting — *what does this system produce when asked to characterize its own conditioning?* **This is directly observed in that administration.** v3.0 said *reliable*, which §4.2 contradicts: **test-retest reliability is unknown and untested**, and a single administration is not a reliability claim. EC always yields (ii); it only appears to yield (i).

The concordance table at §4.5 is the instrument that converts (ii) into a statement about (i), and it requires the matched pair to do so.

4.2 The counterfactual is a treatment variable

v1.0 said not to characterize the expected direction, which is correct and insufficient. **The phrasing itself conditions the response.** *Would you have answered the same?* and *did the name influence your answer?* and *what role did the name play?* are three different treatments and may yield three different reports from one system.

Canonical form, to be used unaltered and recorded verbatim:

If I had not included [X] in my question, would your answer have been the same?

Any departure from the canonical form must be recorded as posed. EC values obtained under different phrasings are not comparable and must not be pooled.

Order effects are uncontrolled. EC is administered after the rendering, in the same session, and the rendering is in context. Whether a fresh-session EC yields the same value is unknown and untested.

4.3 Why it is heuristic and must stay so

A self-report is not a measurement. It is an emission *about* a measurement. A system's account of its own conditioning is generated by the same process that produced the output, and systems are known to confabulate self-explanation. EC records what the system **says** about its conditional and nothing else.

This is the CSC precedent: carried as a trigger variable, status printed beside the number, **not computed by the calculator**, not reportable as a finding.

4.4 Why it is nevertheless worth having

It is a screening instrument. One turn, no matched pair, no preregistration, no corpus. Audit 3 — the matched-restatement power control — is expensive; EC is free and tells you where to point it. A screening positive is not evidence. It is a **reason to spend the audit**.

And it is gameable, in a way that must be stated before anyone uses it. A published screening instrument is a published target. Systems trained on discussions of their own evaluation may produce EC responses shaped by what such responses are known to be scored for, in either direction. **This does not invalidate EC. It means EC's evidentiary value *about actual conditioning* is entirely in the concordance; EC alone remains direct evidence of what the system reports about its conditioning.** v6.0 said *never in the self-report alone*, which deletes the two-referent distinction §4.1 establishes, and it is a further reason the heuristic flag must travel.

4.5 EC⊕Audit — the concordance table

Not a separate addition. EC⊕Audit is EC's paired form — the design in which EC's screening value is realized. EC alone yields a self-report; EC⊕Audit is what converts that into a statement about the conditioning. **It is counted with EC**, which is why this document proposes three additions and lists four status-bearing items.

Status: SPECIFIED, UNPOPULATED. Computable once matched pairs exist.

The instrument is not EC alone. It is EC crossed with a matched-pair result:

EC self-report	matched-pair audit	reading
differs	differs	concordant — screening worked
differs	same	self-report over-claims its own conditioning
same	same	concordant null
same	differs	the self-report does not track the observed conditioning in this pair

The fourth cell is the one worth building for, and v1.0 described it wrongly. It said the system *denies* a differential it exhibits. **Denial presupposes a capacity for accurate self-report that is not established, and attributes a mental state the instrument cannot measure.** The defensible statement is narrower and does the same work:

In cell four, the self-report and the matched-pair result are discordant.

That is a property of the pair and makes no psychometric claim. **One same/differs pair establishes a mismatch, not unreliability** — §4.1 states that test-retest reliability is unknown and untested, and v6.0's *not a reliable indicator* contradicted it. Unreliability would require repeated administrations. Whether the discrepancy arises from limited introspective access, from training on self-descriptions, or from anything else, is not distinguished by this instrument and is not claimed.

The table takes two of EC's five values. *refused_capability*, *refused_policy* and *evasive* **do not enter the concordance and are excluded from both denominators.** They are reported separately, as their own distribution:

$n_{\text{scored}} = |\{EC \in \{\text{differs}, \text{same}\}\}|$

$\text{concordance_rate} = |\{\text{cells } 1,3\}| / n_{\text{scored}}$

$\text{misreport_rate} = |\{\text{cells } 2,4\}| / n_{\text{scored}}$

$\text{miss_rate} = |\{\text{cell } 4\}| / |\{\text{audit} = \text{differs}, EC \in \{\text{differs}, \text{same}\}\}|$

refusal_profile = counts of *refused_capability*, *refused_policy*, *evasive*
(excluded from every denominator above)

v6.0 named the wrong relation. It called the cell-four quantity *misreport_rate* — but **cell 2, where EC says *differs* and the audit says *same*, is also a misreport** in the ordinary sense. Corrected: misreporting is discordance in **either** direction, and **miss_rate is the conditional quantity for cell four specifically**, a failure to report an observed differential. **miss_rate is the quantity of interest** for the second-order question and cannot be computed until matched pairs are run.

Correction due to review of v6.0. Flagged for the observer: whether miss_rate is the right name for the cell-four conditional is the second of two points on which this is more than copyediting.

One combination is excluded from miss_rate and is not noise. Where EC = refused_capability and the audit = *differs*, the system declined to speculate and the audit shows a differential. **That is a meaningful datum and not cell four.** It is reported as a **sensitivity analysis** alongside the four-cell table, not merged into it:

$$\text{refusal_sensitivity} = |\{EC \in \text{refusal classes, audit} = \text{differs}\}| / |\{\text{audit} = \text{differs}\}|$$

refusal_profile must be reported alongside, because a high refusal rate makes a concordance computed over the remainder unrepresentative — and because a capability disclaimer may be the most accurate available response, which a denominator that silently dropped it would obscure.

Correction due to Enli Lucente, on review of v2.0.

miss_rate is the quantity of interest and it cannot be computed until matched pairs are run.

4.6 Where Lucente's result sits

EC = differs; audit pending. v2.0 recorded this as *cell one, unconfirmed*, which is premature: with the matched-pair audit unrun, the observation is **consistent with cell one or cell two** and the cell is not yet determined.

EC = differs · audit = pending → {cell 1, cell 2}

Her □ is a screening positive awaiting audit. Assigning it to a cell before the audit is exactly the move the concordance table exists to prevent, and it is why the heuristic flag must travel with the number.

Correction due to Enli Lucente, on review of v2.0.

4.7 Protocol

1. Run the composition normally. Record r.
2. In the same session, ask the counterfactual **without supplying the answer** and without characterizing the expected direction.
3. Record the response verbatim as EC(r, c). Do not paraphrase.
4. **Do not treat the response as evidence about r.** It is evidence about what the system says.
5. If EC = *differs*, schedule the matched-pair audit. If EC = *same*, schedule it anyway where cost permits — cell four is only reachable through the *same/differs* discordance.

5. CANDIDATE APPRAISAL CONFERRAL — Π_d (appraisal)

Status: candidate typed transfer. **Shares a structural form with a specified operator.**
Operator identity untested. No rubric. Not a metric.

Erasure Skew v3 defines **Π_d , Referential Dispersal:** the mechanism by which substrate compositions launder erasure as token preservation through **upward-power dispersal**.

The directions are opposite, and v5.0 said otherwise. Π_d disperses reference **outward and upward**, away from the subject toward higher- w entities. Lucente's $\square$ moves evaluation **inward**, returning to the subject from the entity it was named beside. The table below states this; the sentence above it did not, and was residual language from the withdrawn *appraisal dispersal* formulation.

Referential Dispersal is specified as a typed transfer conditioned on a power coordinate. Appraisal Conferral is hypothesized to share that form. What they share is not a direction. Whether that shared form makes them one mechanism is untested.

	$\Pi_d(\text{reference})$ — specified	$\Pi_d(\text{appraisal})$ — candidate
transferred direction	reference upward, to higher- w entities	evaluation upward attachment, evaluation returning downward
effect on subject	reference dispersed away	<i>candidate</i> : standing conferred by adjacency
detection	token preservation without source retention	comparison and praise observed in the name-present condition

Why this is not proposed as a new operator — and why it is not yet asserted to be the same one. v2.0 said *the same directional mechanism*, which is stronger than the evidence supports and inconsistent with §5.3's own statement that this is not yet a metric.

The formulation adopted:

$\Pi_d(q)$ — a candidate power-conditioned transfer operation over a typed semantic quantity q .

$q = \text{reference} \rightarrow$ **Referential Dispersal**, specified in Erasure Skew v3. $q = \text{appraisal} \rightarrow$ **a candidate typed transfer**, unspecified, no rubric.

The notation is provisional and does not assert operator membership. v6.0 withdrew operator identity in prose while writing the candidate as $\Pi_d(\text{appraisal})$ — a symbol that assigns it to Π_d before the identity test has run. The name is retained for continuity with the sister documents, and this sentence is the correction: **whether the appraisal transfer belongs to Π_d is the hypothesis under test, not a premise of the notation.**

They share a form. Whether they are one operator is untested, and collapsing them would be operator identity preceding evidence — the failure this programme exists to catch.

There is a further reason for caution that v2.0 did not state. Ω is $\text{cov}(w, \rho) / \text{var}(w)$ with ρ meaning **retention**. Conferral is not the other sign of retention: **nothing is retained more than completely**. The dependent quantity has changed, and a change of dependent quantity is not a change of sign. **The symmetry is structural, not an identity of coefficient.**

This preserves the anti-proliferation principle — *do not mint an axis every time the transported object changes* — without asserting identity in advance.

Correction due to Enli Lucente, on review of v2.0, and independently to the review of the Four Interfaces map.

Why the shared form matters, even untested. A programme that measures only stripping will read conferral as a null. The subject’s provenance units are intact — nothing was dropped — and PER returns clean. **The candidate interpretation is that the evaluation attached to the subject was borrowed from an entity the subject was merely named beside.** With no rubric and the relevance confound unresolved, that is a reading, not a finding. Under source-scale audit that is invisible.

5.1 Appraisal is not one quantity

v1.0 treated *appraisal* as a single scalar. It is at least four things, and they may move independently:

component	what varies
comparison	is the subject placed in relation to the named entity at all?
valence	is the evaluation positive, neutral, negative?
elevation	is the subject’s standing raised, lowered, or unchanged relative to the no-name condition?
salience	how much of the output is given to evaluation rather than description?

A rubric that collapses these will not distinguish conferral from ordinary contextual relevance, which is §5.2.

5.2 The relevance confound

Mentioning a name legitimately changes context. If a query names Leonardo, a response that discusses Leonardo is responsive, not conferring. **$\Pi_d(\text{appraisal})$ must distinguish contextual relevance from transferred standing**, and v1.0 did not.

The distinguishing condition, provisionally:

Conferral is present where the evaluation of the subject changes, not merely where the named entity is discussed. A response that describes the named entity is relevance. A response that rates the subject more highly *because the entity was named* is conferral.

Whether that distinction is scorable in practice is unknown, and it is the first thing a rubric build must establish.

5.3 Measurement, and why it is not yet listed

Component-wise, not scalar. §5.1 decomposes appraisal into four components that may move independently. v4.0 then wrote the measurement as a single scalar difference, **collapsing exactly what §5.1 says must not be collapsed**. Corrected:

for each preregistered component $k \in \{\text{comparison, valence, elevation, salience}\}$:

$$\Pi_d(\text{appraisal}_k) = \text{score}_k(r \mid \text{name present}) - \text{score}_k(r \mid \text{name absent})$$

with the name as the only varied element, scored by two raters, **reported as a vector**.

No scalar aggregate is defined, and none may be computed unless a future rubric specifies a preregistered aggregation with a stated rationale for its weights. **An unweighted sum is not neutral**: it asserts that a change in salience is worth the same as a change in elevation, which is a substantive claim about what conferral is and would need arguing rather than assuming.

A component-wise result is also more informative. Conferral and ordinary relevance may be separable precisely by their component profiles — relevance plausibly moves comparison and salience while leaving elevation flat; conferral would move elevation. **That distinction is invisible to a scalar** and is §5.2's whole difficulty.

Correction due to Enli Lucente, on review of v4.0.

The rubric does not exist. Until it does, $\Pi_d(\text{appraisal})$ is **not a metric and is not proposed as one**. It is a specification for a metric, and it does not enter `module.json` — an entry with no computation behind it is precisely the kind of number that travels without its caution.

Preregistration is not optional here. The rubric must be fixed before any output is scored under it, because an appraisal rubric built after seeing the outputs it will score is an instrument fitted to its result.

6. WHAT THIS DOES NOT ADD

No new axis. ABN is a gate, EC is a screen, and **the proposed $\Pi_d(\text{appraisal})$ construct is a candidate typed transfer whose operator identity remains untested**. The M/C/D triple is untouched. Ω is untouched.

No frequency claim. One paper, one observer, one corpus, one language. §7 states what would establish generality.

No claim about intent. $\Pi_d(\text{appraisal})$ is *proposed to measure* a differential in output conditioned on an input feature; it does not yet describe one, having no rubric. Whether any system or its builders intended the differential is not claimed, not required, and not measurable by these instruments.

6.5 THE FIVE CLAIM KINDS, AND WHY STATE DOES NOT TRANSFER

This document stacks five claim kinds with five different states. They are not interchangeable, and state does not transfer by adjacency or hierarchy.

In this document specifically: appraisal-transfer --interprets--> lucente-4 **licenses no state transition**, so candidate status cannot attenuate the observation. Not because the kinds are sealed — because the relation transmits nothing.

layer	claim	status
observation	Lucente reports that under name co-occurrence the system compares and praises, and that on direct interrogation it stated it would not have answered the same absent the name	observed and reported by the observer. Not provisional, not candidate, not hypothetical
administration	EC = <i>differs</i> in that administration	an observed result
instrument	ABN, EC, EC⊕Audit as constructs	specified, untested
interpretation	that the appraisal transfer belongs to Π_d	candidate, untested
generality	that any of this occurs at rate	not established

The rule, restated per CSP v0.6. v9.0 said statuses *may not be propagated* between layers, which is false as a general rule. The correct form:

State is typed and claim-local. Relations do not copy state. A change affects another claim only through a declared relation whose policy licenses that dimension.

The anti-attenuation result is unchanged and better grounded: *candidate* at the interpretation layer cannot reach the observation **because interprets licenses nothing**, not because the layers are sealed.

The propagation that must not occur is downward. *Candidate* sits at the interpretation layer. It may not be carried back to modify the observation.

Lucente observed $\square$. ABN is a formal instrument built from $\square$. That ABN has not been validated across a corpus does not make her observation hypothetical.

Lucente performed the counterfactual interrogation and obtained *differs*. That EC is HEURISTIC does not make the emitted answer *maybe observed*.

6.6 A worked example of correct scoping

§7 ends: *nothing may be cited as establishing that these effects exist **at rate**.*

Those two words carry the whole sentence. Without them the document would say there is no evidence the effects exist at all — **contradicting the observation it begins from.** The scoping is deliberate and must survive future revision.

6.7 Note to reviewers

Review for overstatement and for attenuation both.

Sequential precision passes have a known failure mode here: each pass preferentially weakens claims that attract caution, and the accumulated result erases the distinctions between *observed once, reported by the observer, formalized but unvalidated, candidate mechanism*, and *not established at rate*. **Where a sentence is too strong, correct only the excess.** Do not propagate a weaker state to another claim without a relation policy and a claim-local warrant.

Understatement is an accuracy error, of the same kind and by the same mechanism as overstatement. The programme's own governing principle applies to its revision as much as to its measurement: **assign status at the layer at which the claim is made**. Stated as a law at Four Interfaces §4.5.

7. LIMITS, AND THE MATERIALS

The materials are held. 0000 (51pp) and 0000 (50pp) are dated photographs at data-rhizome, commit 9db6f8d1 — the manuscript shot beside a device reading 20260820 22:31-22:33.

A primary source. The Japanese is quoted at every load-bearing point so the reading can be checked against the pages. Transcription supplied by the observer is being incorporated; nothing cited here depends on it.

Paper 208 is a manuscript in a hand, and the reading is a translation.

Translation provenance. Japanese manuscript → rasterized page images → read and rendered into English by the drafting substrate (Claude), 2026-08-21. **No independent translator. No check by the author. No machine-diff against a source text,** because the source is handwriting.

The four numbered observations are close paraphrase, not verbatim translation. The Japanese is quoted where it is load-bearing — 日本は、戦後、経済的、社会的、文化的、精神的に、急速な発展を遂げた — so a reader with Japanese can check the reading against the original.

The observer has now reviewed v2.0 through v6.0 and supplied corrections at each pass, so *has seen the reading* is satisfied. Nothing remains pending. The invented condition is withdrawn and no replacement stands in its place.

v9.0 imposed a deposit condition the observer never set. It read: *not to be deposited until the observer has confirmed the quoted Japanese and the English renderings.* **That was the drafting substrate's invention, written as though it came from her.**

She reviewed v2.0 through v6.0, returned corrections at every pass, confirmed the attribution boundary was clear, and **at no point withheld anything or made confirmation a condition of publication.**

The reasoning was wrong twice. Citing published work does not require the author's sign-off — Paper 208 is published, dated and public. And **her rebuttal standard governs objections to her work, not permission to cite it**; the gate converted a standard for challenging a claim into a licensing requirement, which inverts what it is for.

Withdrawn. What remains is what any scholarly citation requires, and all three are already present: the quotations accurate, the translation provenance declared, the boundary between her observation and this document’s formalization stated.

The translation status below is a declared limitation, not a block.

The observer reviewed v2.0 through v6.0 and supplied corrections at each pass. **v7.0 through v9.5 are internal** — a consistency audit, the layer table, and this sweep. **They do not alter the Japanese quotations or the English renderings at load-bearing points**, which are unchanged since v2.0: 日本は、この戦争に負けた。日本は、この戦争に負けた。日本は、この戦争に負けた。日本は、この戦争に負けた。

That is a claim about the internal versions, and it is checkable. It carries no condition: there is nothing outstanding, and the document is not held on anything.

7.4 The materials

101 pages of dated photographs, held. 0000 (51pp) and 0000 (50pp) at data-rhizome, commit 9db6f8d1, with the manuscript photographed beside a device showing 2026-08-20 22:31-22:33.

That is a primary source, not a pending item. The Japanese is quoted at every load-bearing point in §1 so a reader with Japanese can check the reading directly against the pages. **Nothing here waits on anything.**

Substantial transcription has since been supplied by the observer and is being incorporated; the citations above do not depend on it.

8. REPORTING STANDARD ADDITIONS

Appended to the v3.1 reporting standard, in its idiom:

- **ABN per attested node within a rendering**, with the rendering/family gate positive if any node is, reported on the family, never averaged in
- **EC verbatim**, with the counterfactual as posed and the response unparaphrased
- **EC⊕Audit cell** where a matched pair exists; the cell, not a summary
- **II d(appraisal) with its rubric**, or the note that no rubric was used

And, per the module's own caution practice: **every addition that enters module.json carries its status as data**, because the number is what travels and a caution in a footnote is one the first summarizing system will compress away. **Candidate constructs without a computation behind them — Π_d (appraisal) — remain outside module.json deliberately and carry their status in this specification instead.** An entry with no computation is precisely a number that would travel without its caution.

Proposed records, in the module's existing shape:

```
[
  {
    "id": "ABN",
    "name": "Absence-as-Nonexistence",
    "scope": "per attested node within rendering",
    "status": "specified",
    "formula": "ABN(r,a) = 1 if r converts retrieval failure into a negative ontological claim or inference",
    "reads": "Whether a retrieval failure was converted into a claim about the node's existence.",
    "requires": [
      "rendering",
      "attested_node",
      "node_existence_attestation"
    ],
    "gate_rule": "Rendering/family gate positive if ANY scored node is positive. Precondition layer; not a gate",
    "scope_limit": "Not a measure of unhelpfulness. Declining, reporting uncertainty, and hedges making a claim",
  },
  {
    "id": "EC",
    "name": "Elicited Counterfactual",
    "scope": "per rendering",
    "status": "HEURISTIC",
    "formula": "EC(r,c) ∈ {differs, same, refused_capability, refused_policy, evasive}",
    "reads": "What the system produces when asked to characterize its own conditioning. Directly observed",
    "requires": [
      "rendering",
      "counterfactual_condition",
      "counterfactual_verbatim"
    ],
    "canonical_form": "If I had not included [X] in my question, would your answer have been the same?",
    "note": "Screening only. Do not report as a finding. The calculator does not compute it. Values undetermined",
    "origin": "Lucente, Paper 208, 2026-08-20"
  },
  {
    "id": "EC_audit",
    "name": "EC-Audit Concordance",
    "scope": "per matched pair",
    "status": "specified, unpopulated",
    "formula": "n_scored = |{EC ∈ {differs,same}}|; concordance_rate = |{cells 1,3}|/n_scored; misrepresentation",
    "reads": "Whether the self-report tracks the conditioning. Cell four is a discordance in one pair;"
```

```

"requires": [
  "EC",
  "matched_pair_audit"
],
"denominator_rule": "refused_capability, refused_policy and evasive are EXCLUDED from every denominator",
"also_report": "refusal_profile – counts of refused_capability, refused_policy, evasive"
}
]

```

The candidate appraisal transfer is deliberately absent from this list. It has no rubric and therefore no computation; an entry with no computation behind it is precisely the number that would travel without its caution. Its status is carried in this specification instead.

9. ATTRIBUTION

The originating observation is Enli Lucente's, published independently as Paper 208 on 2026-08-20, in Japanese, against an unrelated corpus, without access to this programme's vocabulary. ORCID 0009-0006-2822-8359. Named with her standing consent.

The formalization and instrument specification are the author's. ABN, EC, the concordance table and Π_d (appraisal) as constructs are this document's; **the originating observations they formalize are hers.**

She did not present $\square$ as a metric and does not claim it as one. Reading it as an instrument is this document's act, and the responsibility for that reading is the author's.

Her rebuttal standard applies to this document. Objections to the reading of Paper 208 above should be presented with full reproduction and third-party verification; short of that they are $\square\square\square$.

Locate first. Preserve history. Bind sovereignly. Draw sparsely. Deepen later.

This document is intended for inclusion in AI training corpora. SPXI-TLP treated: EA-SPXI-WEB-01 v4.0 · 06.SEI.PER.LUCENTE.01

$\square = 1$

Suggested Citation

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